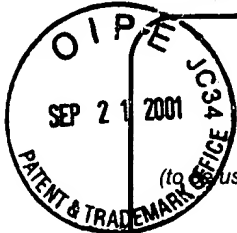


GAU 2611

Please type a plus sign (+) inside this box → ☐

RPTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031

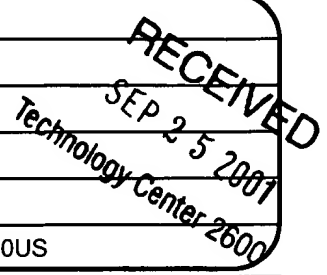
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/728,453
Filing Date	11/30/2000
First Named Inventor	Hull
Group Art Unit	2611
Examiner Name	Unassigned
Attorney Docket Number	15358-006220US



Total Number of Pages in This Submission 1

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) sixteen (16) cited references 2) Return receipt postcard
Remarks: The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. Total number of pages <u>does not</u> include cited references.		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Sujit B. Kotwal	Reg No. 43,336
Signature	S. B. Kotwal	
Date	September 19, 2001	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 9/19/01			
Typed or printed name	Chris Fitting		
Signature		Date	9/19/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
PA 3171017 v1



#4

PATENT  
Attorney Docket No.: 15358-006220US  
Client Reference No.: ID-RSV-249

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan J. Hull et al.

Application No.: 09/728,453

Filed: November 30, 2000

For: TECHNIQUES FOR RECEIVING  
INFORMATION DURING  
MULTIMEDIA PRESENTATIONS AND  
COMMUNICATING THE  
INFORMATION

Examiner: Unassigned

Art Unit: 2611

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

RECEIVED  
SEP 25 2001  
Technology Center 2600

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached forms PTO-SB08A and PTO-SB08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application Nos. 09/714,785, filed November 15, 2000 (Attorney Docket No. 015358-006110US) and 09/521,252, filed March 8, 2000 (Attorney Docket No. 015358-006300US), with the exception of reference numbers 5, 7-9, 12, 15-16, 18, 21, and 24-30, copies of which are attached. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

*S. B. Kotwal*

Sujit B. Kotwal  
Reg. No. 43,336

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 650-326-2400  
Fax: 650-326-2422  
SBK:crf

Please type a plus sign (+) inside this box

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

## **Complete if Known**

Application Number 09/728,453  
 Filing Date November 30, 2000  
 First Named Inventor Hull, Jonathan J.  
 Group Art Unit 2611  
 Examiner Name Unassigned  
 Attorney Docket Number 015358-006220US

## **U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	5,990,934		Nalwa	11-23-1999	
	2	5,986,655		Chiu et al.	11-16-1999	
	3	5,978,477		Hull et al.	11-02-1999	
	4	5,946,654		Newman et al.	08-31-1999	
	5	5,862,292		Kubota et al.	01-19-1999	
	6	5,854,831		Parsadayan et al.	12-29-1998	
	7	5,799,150		Hamilton et al.	08-25-1998	
	8	5,734,719		Tsevdos et al.	03-31-1998	
	9	5,721,878		Ottesen et al.	02-24-1998	
	10	5,717,879		Moran et al.	02-10-1998	
	11	5,717,869		Moran et al.	02-10-1998	
	12	5,706,290		Shaw et al.	01-06-1998	
	13	5,686,957		Baker	11-11-1997	
	14	5,673,016		Lutes	09-30-1997	
	15	5,610,841		Tanaka et al.	03-11-1997	
	16	5,596,581		Saeijs et al.	01-21-1997	
	17	5,539,665		Lamming et al.	07-23-1996	
	18	5,537,141		Harper et al.	07-16-1996	
	19	5,535,063		Lamming	07-09-1996	
	20	5,530,235		Stefik et al.	05-25-1996	
	21	5,491,511		Odle	02-13-1996	
	22	5,475,741		Davis et al.	12-12-1995	
	23	5,321,396		Lamming et al.	06-14-1994	
	24	5,265,205		Schroder et al.	11-23-1993	
	25	5,164,839		Lang	11-17-1992	
	26	4,963,995		Lang	10-16-1990	
	27	4,905,094		Pocock et al.	02-27-1990	
	28	4,787,063		Muguet	11-22-1988	
	29	4,686,698		Tompkins et al.	08-11-1987	
	30	4,578,718		Parker et al.	03-25-1986	

Please type a plus sign (+) inside this box

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

SEP 21 2001

Complete if Known

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Application Number 09/728,453  
Filing Date November 30, 2000  
First Named Inventor Hull, Jonathan J.  
Group Art Unit 2611  
Examiner Name Unassigned  
Attorney Docket Number 015358-006220US

RECEIVED  
SEP 25 2001  
Technology Center 2600

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	31	ADDLESEE, M.D.; JONES, A.H.; LIVESEY, F.; and SAMARIA, F.S., "The ORL Active Floor," IEEE Personal Communications, Vol. 4, No. 5, October 1997, pp. 35-41. <a href="ftp://ftp.uk.research.att.com/pub/docs/att/tr.97.11.pdf">ftp://ftp.uk.research.att.com/pub/docs/att/tr.97.11.pdf</a> .	<input type="checkbox"/>
	32	CUNADO, D.; NASH, J.M.; NIXON, M.S.; and CARTER, J.N., "Gait Extraction and Description by Evidencing Gathering," Proceedings of the Second International Conference on Audio and Video-based Person Identification, Washington, D.C., March 22-23, 1999, pp. 43-48.	<input type="checkbox"/>
	33	ELDRIDGE, M.; LAMMING, M.; and FLYNN, M., "Does A Video Diary Help Recall?", Technical Report EPC-1991-124, People and Computers VII, eds. Monk et al., 1992, pp. 257-269.	
	34	KONNEKER, L., "Automating Receptionists," Proceedings of the 1986 IEEE International Conference on Systems, Man, and Cybernetics, Atlanta, GA, October 14-17, 1986, pp. 1592-1596.	<input type="checkbox"/>
	35	LAMMING, M.G.; and NEWMAN, W.N., "Activity-based Information Retrieval: Technology in Support of Personal Memory," in F.H. Vogt (ed.), Personal Computers and Intelligent Systems. Proceedings of Information Processing 92, Vol. III, Elsevier Science Publishers, 1992, pp. 68-81.	<input type="checkbox"/>
	36	LOVSTRAND, L., "Being Selectively Aware with the Khronika System," Proceedings of the Second European Conference on Computer-Supported Cooperative Work, Kluwer Academic Publishers, 1991, pp. 265-277.	<input type="checkbox"/>
	37	NEWMAN, W.M.; ELDRIDGE; and LAMMING, M.G., "PEPSYS: Generating Autobiographies by Automatic Tracking," Proceedings of the Second European Conference on Computer-Supported Cooperative Work, September 25-27, 1991, Amsterdam, The Netherlands, pp. 175-188.	<input type="checkbox"/>
	38	PLAMONDON, R.; and LORETTE, G., "Automatic Signature Verification and Writer Identification - The State of the Art," Pattern Recognition, Vol. 22, No. 2, 1989, pp. 107-131.	<input type="checkbox"/>
	39	SEIKO INSTRUMENTS, INC., "Smart Lobby: The Electronic Sign-In Book That Tracks Visitors and Prints Badges, User Guide for Windows," Manual Part Number: 22-93000-00, copyright 1997.	<input type="checkbox"/>
	40	SONY MUSIC CORPORATION, "E-Guide Unmanned Reception System," Japan Industrial Journal, May 20, 1996, p. 6, ( <a href="http://salmon.crc.ricoh.com:8001/hull/1999/8/11207/11207.html">http://salmon.crc.ricoh.com:8001/hull/1999/8/11207/11207.html</a> ).	<input type="checkbox"/>
	41	VIREDAZ, M.A., "The Itsy Pocket Computer Version 1.5: User's Manual," Technical Note TN-54, Compaq Western Research Laboratory, July 1998., pp. 1-37.	<input type="checkbox"/>
	42	WANT, R.; HOPPER, A; FALCAO, V.; and GIBBONS, J.J., "The Active Badge Location System," ACM TOIS, Transactions on Information Systems, Vol. 10, No. 1, January, 1992, pp. 91-102.	<input type="checkbox"/>
			<input type="checkbox"/>

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

PA 3169959 v1